



JO17 Rec'd PCT/PTO 30 APR 2001 *PCT/PTO*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT OPERATIONS

Art Unit: (Examiner)

Applicants: Andreas PLETTNER and Karl HABERGER

Serial No: 09/787,638

Filed: March 20, 2001

Title: METHOD FOR PRODUCING A MICROTRANSPOUNDER

Charlotte, North Carolina
April 25, 2001

Commissioner of Patents and Trademarks
Washington, D. C. 20231

Dear Sir:

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on: April 25, 2001

Lisa Camann April 25, 2001
Lisa Camann Date
DOUGHERTY & CLEMENTS LLP
6230 Fairview Road, Suite 400
Charlotte, North Carolina 28210
Tel: 704/366-6642

Docket 3132



PATENT

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SUBMISSION OF MISSING DOCUMENT AND FEE

05/03/2001 Dear Sir 00000126 09787638

01 FC:254 65.00 0P

Please enter into the file of the subject application the following enclosed document:

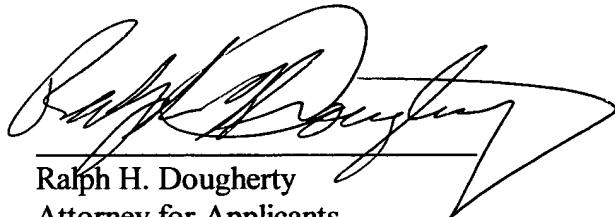
1. Declaration and Power of Attorney for Patent Application;

Form PTO 2038 (Credit Card Payment Form) was submitted with the application to cover the basic filing fee of \$430.00.

Form PTO 2038 (Credit Card Payment Form) in the amount of \$65.00 to cover the surcharge for late filing of documents is also enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to DOUGHERTY & CLEMENTS Deposit Account No. 04-1448.

Respectfully submitted,



Ralph H. Dougherty
Attorney for Applicants
Registration No. 25,851
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6230 Fairview Road, Suite 400
Charlotte, North Carolina 28210
Telephone 704/366-6642

RHD/jc
Attachments

Attorney's
Docket 3132



UNITED STATES PATENT AND TRADEMARK OFFICE

APR 3 0 2001

4418/0102415
Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov09/07/99
U.S. APPLICATION NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO. 3130

09/787638

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CHARLOTTE NC 28210

5611

INTERNATIONAL APPLICATION NO.

09/07834

I.A. FILING DATE

PRIORITY DATE

10/07/99

10/07/98

04/19/01

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494) an Elected Office (37 CFR 1.495):

U.S. Basic National Fee. Indication of Small Entity Status.
 Copy of the international application. Translation of the international application into English.
 Oath or Declaration of inventors(s). Translation of Article 19 amendments into English.
 Copy of Article 19 amendments. Other:
 Priority Document.
 The International Preliminary Examination Report in English and its Annexes, if any.
 Translation of Annexes to the International Preliminary Examination Report into English.

2. Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

U.S. Basic National Fee. Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes MUST be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: PCT/DO/EO/917
 PTO-875

Notice of Defective Translation
 PCT/DO/EO/920

Lamont Hunter, Paralegal

Telephone: 703 305-3686

FORM PCT/DO/EO/905 (March 2001)

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APR 23 2001
DOUGHERTY & CLEMENTS